

# **Prosecution Fee Scheme**

November 2012 Scheme B

# **Contents**

	Pages
Introduction	5
Guidance Structure	5
Section 1: Crown Court	6-31
The Scheme	7
Table of Offences	7
Main Hearing Types: Elements of the Fee	8
Basic Fee	9
Indictment	10
Value Threshold for Offences of Dishonesty and Arson	10 11
Refreshers Differential Rates for Length of Trial	12
Evidence Uplift	12
Defendant Uplift	13
Main Hearing	14
Guilty Plea 1	14
Guilty Plea 2	15
Trial	16
Preparatory Hearings	16
Fixed and Time Based Fees	16
Arraignment	17
Trial Adjourned	17
Application / Mention Fees	17
Deferred Sentence Fees and Sentence Fees	19
Newton Hearing	20
Consultation / Visiting Fees	20
Listening / Viewing Fees	21
Fees for Re-Trials	21
Provisions for Voir Dire Provisions for Cases which do not Proceed	22
Passing of Briefs to other Advocates	22 22
Wasted Preparation	22
Unused Material	24
Additional Advocates	24
Table 1 Trial Fees	25
Table 2 Guilty Plea 1 Fees	29
Table 3 Guilty Plea 2 Fees	30
Table 4 Fixed and Time Based Fees Table	31

Section 2: Magistrates'/Youth Court	32-35
Half Day Sessional Rates Full Day Sessional Rates Preparation of Additional Back-up Contests Senior Advocates and Indictable Only Cases Exceptional Cases	33 33 33 33 34
Section 3: County Court Appeals	36-39
Half Day Sessional Rates Full Day Sessional Rates Preparation of Additional Back-up Appeals Senior Advocates and Indictable Only Cases Exceptional Cases	37 37 37 37 38
Section 4: High Court Bails	40-41
Application Rates Exceptional Cases	41 41
Section 5: Court of Appeal	42-53
Basis of the Fee Appeals against Conviction Appeals against Sentence Ancillary Hearings Table 5 Appeals against Conviction Fees Table 6 Appeals against Sentence Fees	43 44 44 44 45 53
Section 6: Hourly Rate Work	54-57
Scope of Payment by Hourly Rate Approval Process Exceptional Rate Additional Advocates Instruction of Advocates Advocates' Work records Tables of Fees	55 55 55 56 56 56
Section 7: Administrative Arrangements for Payment of Fees	58-62
Central Fees Team Crown and Court of Appeal Cases: PPS Finance Folders Self Billing Claims for Fees by Prosecuting Advocates VAT Interim Fees Hardship Payments	59 59 59 59 60 60

Disputed Fees	61
Travel Expenses	61
Travel Times	62
Additional Expenses	62
Section 8: Annexes	63-68
<b>Annex A</b> – Sample Letter for Instruction on the Basis of Hourly Rates	63
<b>Annex B</b> – Record of Preparatory Hours	65
Annex C – Advocate Fee note template	66

#### Introduction

This document sets out the arrangements for the remuneration of independent prosecuting advocates instructed by the Public Prosecution Service in the prosecution of criminal cases.

This includes cases heard in the Magistrates'/Youth Court, appeals to the County Court, cases committed or transferred for trial to the Crown Court, High Court bail applications, Court of Appeal cases, restraint and confiscation hearings, precommittal preparation and opinions.

The scheme will take effect from **5 November 2012**. This will include all cases arraigned in the Crown Court from that date, all hourly rate work briefed from that date and all appearances in the Magistrates' Courts, Youth Courts, County Courts, Bail Courts and Court of Appeal from that date.

This scheme is termed Scheme B. Scheme A will refer to the arrangements ruling before the date of implementation.

The electronic version of the Criminal Prosecution Fee Scheme (CPFS) is available on the PPS Internet (<a href="www.ppsni.gov.uk">www.ppsni.gov.uk</a>) and intranet.

#### **Guidance Structure**

This Prosecution Fee Scheme (PFS) has been laid out, in line with the index, in Sections according to the different work types and remuneration policies.

Payment claimed by advocates for exceptional matters not covered by the specific rules herein will be assessed by the Fees Auditor for approval by a Senior Assistant Director (SAD).

# **Section 1: Crown Court**

#### **Crown Court**

This section relates to payments to independent advocates in the Crown Court in respect of all trials and ancillary hearings such as, arraignments, mentions, and appearances at sentence hearings etc.

Advocates appearing for the Prosecution in the Crown Court will be paid at PFS rates for the cases in which they are instructed and at the rates applicable to their status in the case.

#### 1. The Scheme

- (i) Pays a fee for each indictment which can include one or any number of counts;
- (ii) Allows for uplifts where there is more than one defendant;
- (iii) Allows fees for Senior Advocate, Leading Junior, Led Junior, Sole Junior Advocate and Additional Advocates;
- (iv) Provides for payment of all fees at the conclusion of the case unless otherwise stated.

#### 2. Table of Offences

The Scheme provides a Table of Offences that classifies offences into Categories A to I:

- (i) The PPS Table of Offences seeks to clearly classify all regularly prosecuted offences and can be found on the PPS website;
- (ii) The fee payable is based on the category of offence, of which at least one count must appear on any indictment which is lodged with the court. It is likely to be the main or most serious count on the indictment;
- (iii) Any offence that is not within a specific offence category will be referred to the Fees Auditor for categorisation, who may consult with the Assistant Director Policy. The NI Courts and Tribunals Service may be consulted on the question of the appropriate category of offence;
- (iv) Conspiracy, incitement, aiding and abetting and attempts to commit a particular offence are treated the same as the substantive offence;
- (v) There are certain offences that fall into Category C or F, unless the value is greater than £30,000. In these circumstances the case falls into Category B or G respectively (see paragraph 6);

(vi) If there is a dispute as to the particular category the matter will be referred to the Fees Auditor who may consult with the Assistant Director Policy. In addition the NI Courts and Tribunals Service may be consulted on the question of the appropriate category of offence.

# 3. Main Hearing Types: Elements of the Fee

There are 3 main hearing types, which will attract fees as follows:

- (i) Guilty Plea 1 (see paragraph 12)
  - Basic Fee (which is determined by the offence category and the category of the advocate at the time of instruction);
  - Defendant Uplift: An uplift on the basic fee is payable for each additional defendant (see paragraph 10).
  - Ancillary Fees.
- (ii) Guilty Plea 2 (see paragraph 13)
  - Basic Fee (which is determined by the offence category, the category of the advocate at the time of instruction in the proceedings and the number of pages of prosecution evidence, (see paragraph 9);
  - Defendant Uplift: An uplift on the basic fee is payable for each additional defendant (see paragraph 10);
  - Ancillary Fees.
- (iii) Trials (see paragraph 14)
  - Basic Fee (which is determined by the offence category, the category of the advocate at the time of instruction in the proceedings and the actual duration of the trial);
  - Daily Refresher Fee for each second and subsequent day of trial (which is determined by the offence category, the category of the advocate at the time of instruction in the proceedings and the actual duration of the trial);
  - Defendant Uplift: An uplift on the basic fee is payable for each additional defendant (see paragraph 10);
  - Ancillary Fees.

#### 4. Basic Fee

The Basic Fee is calculated from the offence category in which the principal count is listed.

- (i) The list of offences and the categories into which they fall, is Available from the PPS website (PPS Table of Offences);
- (ii) Where the indictment contains more than one count in differing categories, the PPS will select the offence category which remunerates at the highest rate as the basis of the fee calculation;
- (iii) The Basic Fee payable is dependent on the type of main hearing:
  - Guilty Plea 1
  - Guilty Plea 2
  - Trial

the category of advocate:-

- Senior Advocate;
- Leading Junior Advocate;
- · Led Junior Advocate; and
- Sole Advocate

and the actual duration of trial (see paragraph 8).

- (iv) For the purposes of the PFS the 'preparation' of a case is covered by the basic fee and includes such elements as:
  - reading the papers in the case;
  - preparing proofs and indictment;
  - contact with defence representatives;
  - written or oral advice;
  - researching the law;
  - viewing unused material and dealing with disclosure;
  - preparing written submissions, notices or other documents;
  - initial advice, prepared on whether the sentence imposed may be unduly lenient;
  - completing Trial Status Reports;
  - any necessary reports, consultations or discussions with PPS staff;
  - any informal meeting or contact with police, expert witnesses, or other witnesses; and
  - and other work conducted in the normal course of a case.

#### 5. Indictment

- (i) The 'indictment' for the purpose of calculating a prosecution fee is the indictment upon which the advocate carries out preparatory work and/or appears at the 'main' hearing (see paragraph 11);
- (ii) If an indictment has been severed and there are two or more trials each would attract a separate prosecution fee;
- (iii) Where two or more indictments are joined, this is treated as one case and will attract one prosecution fee;
- (iv) Indictments presented in one case under the two-stage trial system set out in the Domestic Violence, Crime and Victims Act 2004 are treated as one indictment for the purposes of the PFS.

# 6. Value Threshold for Offences of Dishonesty and Arson

- (i) When the value of the property specified in offences of dishonesty and 'non-aggravated' arson that would normally fall into offence category C or F, exceeds £30,000; the case will fall to offence category B or G respectively;
- (ii) If there is a dispute as to the particular value the matter will be referred to the Fees Auditor who may consult with the Assistant Director Policy. In addition the NI Courts and Tribunals Service may be consulted on the question of the appropriate category of offence. It will be for the claiming advocate to provide evidence to support the valuation. This may be in the form of extracts from the indictment or the statements;
- (iii) Where the indictment is in the form of a 'specimen' count or counts then only the value of the property of that count or counts will go towards the value of the case;
- (iv) Where the same property is covered by alternative counts, such as theft/handling, the value of the property is counted once; and
- (v) Offences that have been taken into consideration by the Judge (TICs) shall not be taken into account when calculating the overall value of the case.

#### 7. Refreshers

- (i) A refresher is claimed when the main hearing of a trial (as defined in paragraph 14) lasts more than one day and is payable only for the second and subsequent days;
- (ii) A refresher is paid at full and half rates. A full refresher is payable where the court hearing lasts more than three hours otherwise a half refresher is paid. There is no additional payment for a late sitting;
- (iii) The fee payable is determined by:
  - the offence category;
  - the category of the advocate at the time of instruction in the proceedings; and
  - the actual duration of the trial (see paragraph 8).
- (iv) When an ancillary hearing, meeting the criteria set out in *paragraph* 19, takes place prior to a jury being sworn payment will be made in accordance with the rates set out under *Fixed and Time Based Fees* (paragraph 32 and Table 4). Where the hearing takes place after the jury has been sworn and no evidence is called on that same day payment will be made in accordance with the rates set out at paragraph 32. Where a hearing takes place after evidence has been called it is counted as part of the length of the trial and does not attract an ancillary fee;
- (v) A daily refresher fee is paid for the advocate who attends on a day (or days) after the first day of a trial. An advocate will not be entitled to claim more than one refresher on any given day. Where an advocate has appeared in more than one case on a given day they must ensure they claim no more than a full day or two half days in refresher appearances in respect of that date;
- (vii) Where a case has more than one advocate, an advocate who does not attend a day of the trial is not entitled to a refresher for that day. A length of trial uplift however *will* be payable to the advocate.

## 8. Differential Rates for Length of Trial

- (i) A length of trial uplift is payable for the subsequent days of trial beyond eight days and increases in increments of seven days up to a maximum of 80 days (*Trial Fee 10*) as set out in *Table1Trial Fees*;
- (ii) A trial lasting more than 80 days will attract a basic fee at Trial Fee 10 rate and refreshers at Refresher Fee 10 rate;
- (iii) The fee payable is determined by:
  - the offence category; and
  - the category of the advocate at the time of instruction in the proceedings.

# 9. Evidence Uplift

- (i) An evidence uplift fee is payable in Guilty Plea 2 cases only (see *Table 3*) based on the number of pages of prosecution evidence;
- (ii) The pages of prosecution evidence to be counted are:
  - witness statements:
  - documentary and pictorial exhibits;
  - defendant interviews and all other pages of exhibits;
  - witness statements or exhibits served under cover of a notice of additional evidence:
  - material considered for Public Interest Immunity (PII); and
  - video recorded evidence in chief transcripts.
- (iii) With the exception of (viii) and (ix) below, only pages of evidence which form part of the prosecution case should be counted;
- (iv) Part pages are counted in the same way as full pages;
- (v) Pages that are EXCLUDED are:
  - title pages and lists of documents;
  - pages of unused evidence i.e. evidence not disclosed to the court; and
  - any pages NOT served as part of the prosecution evidence.

- (vi) When a transcript has been expanded, either by the prosecution or the defence, because the one provided by the prosecution was deemed insufficient, the fullest transcript produced shall be included in the page count. The version that is in the brief will not then be counted;
- (vii) Any versions of a transcript that have been edited for the purpose of being put before the jury will not be counted;
- (viii) Where material held electronically is copied onto CD or DVD or other electronic medium and is served as evidence in that format the images will not be counted as pages. Advocates will be paid for fair and reasonable time spent viewing the material. The approved recorded hours will be paid using the appropriate hourly rate. Where documentary exhibits are served as prosecution evidence and the same material is also provided for ease of reference or electronic presentation no further payment shall be made for the material provided in a second or alternative format (see Fixed and Time Based Fees, Table 4;
- (ix) The fee is determined by:
  - the offence category; and
  - the category of the advocate at the time of instruction in the proceedings.

# 10. Defendant Uplift

- (i) Calculations in respect of defendant uplifts will be made at the conclusion of the case and will be calculated as the main hearing fee plus defendant uplift. This will cover payment in respect of all defendants;
- (ii) An uplift on the Basic Trial fee, Basic Guilty Plea 2 fee and the Basic Guilty Plea 1 fee is payable for the second defendant and for each subsequent defendant tried together on the same indictment as follows:
  - a second defendant attracts an uplift of 20%
  - a third defendant attracts an uplift of a further 20%
  - a fourth defendant attracts an uplift of a further 10%
  - a fifth defendant attracts an uplift of a further 10%
  - each subsequent defendant attracts an uplift of a further 5%
- (iii) Uplifts will not be applied to ancillary hearings;
- (iv) In each case the defendant uplift is paid on the basic fee not on a cumulative basis.

# 11. Main Hearing

There is one main hearing in every case. The Main Hearing is defined as:

- in respect of a case that goes to trial, the whole Trial;
- in respect of a Guilty Plea 1, the hearing where acceptable pleas are taken (or the case was disposed of); or
- in respect of a Guilty Plea 2, the hearing where acceptable pleas are taken (or the case is disposed of).

In cases where there are multiple defendants the main hearing is the hearing which remunerates at the highest rate.

# 12. Guilty Plea 1

- (i) This fee is payable to the advocate where:
  - an acceptable plea of guilty is entered by the defendant; or
  - the Prosecution offers no evidence; or
  - the indictment is stayed (all counts); or
  - following a successful No Bill application (all counts);
- (ii) This fee is payable where the defendant enters a guilty plea at a hearing following one or more ineffective arraignment hearings at which the case **had not been** adjourned for trial (see paragraph 17);
- (iii) The fee payable (see Table 2) is determined by:
  - the offence category; and
  - the category of the advocate at the time of instruction in the proceedings;
- (iv) If there is an effective Newton Hearing after a guilty plea, the case does not attract a Guilty Plea 1 fee, but rather a fee is paid under the provisions of *paragraph 21*;
- (v) An uplift is payable for each additional defendant (see *paragraph 10*).

#### 13. Guilty Plea 2

- (i) A Guilty Plea 2 is any disposal of an indictment (other than an effective trial) where the defendant enters guilty pleas or the prosecution offers no evidence or the indictment is stayed (all counts) on a day after an effective arraignment when the case **has been** adjourned for trial;
- (ii) The fee payable (see Table 3) is determined by:
  - the offence category; and
  - the category of the advocate at the time of instruction in the proceedings;
- (iii) An uplift is payable for each additional defendant (see paragraph 10) and/or in respect of substantial evidence (see paragraph 9);

#### **Examples**

- (iv) If at an arraignment the prosecution or defence request further time to consider their position and the case is subsequently re-listed and the defendant pleads guilty or the prosecution offer no evidence this should be treated as a Guilty Plea 1;
- (v) For a Guilty Plea 2 fee to be paid, either the defendant pleads guilty or the prosecution offer no evidence on the day the case is listed for trial or on a day after the case has previously been adjourned for trial;
- (vi) When the jury have failed to reach a verdict at the end of a trial and the case is adjourned for the Prosecution to make a decision whether to retry the case a trial fee is paid. If the case is then listed for the Prosecution to offer no evidence, an Application 1, 2 or 3 fee should be paid for that hearing, not a Guilty Plea 2 fee. Alternatively, when a jury have failed to reach a verdict and the case is adjourned for trial, if the case is then listed for trial and the Prosecution subsequently offer no evidence, a Guilty Plea 2 fee will be paid.

#### 14. Trial

- (i) The trial commences on the day on which evidence is called, or Preparatory Hearing(s) (as defined under Article 6 of the Criminal Justice (Serious Fraud) (NI) Order 1988 paragraph 15) have taken place;
- (ii) The fee payable (*Table 1*) is determined by:
  - the offence category; and
  - the category of the advocate at the time of instruction in the proceedings;
- (iii) Any hearing, other than a voir dire, on a day or days prior to evidence being called will not be counted towards the length of the trial for the calculation of the fee. A fixed fee is payable in respect of the hearing (see paragraph 19 and Fixed and Time Based Fees, Table 4). A Voir Dire will be included in the length of trial calculation and remunerated under the length of trial uplift (see paragraph 25):
- (iv) However when any hearing attracting a separate fixed fee takes place on the same day or days as evidence is called there is no separate fee paid;
- (v) If there is a successful unfit to plead hearing and the case does not proceed a trial fee will be paid under these provisions;
- (vi) An uplift is payable for each additional defendant (see paragraph 10) and/or in respect of length of trial (see paragraph 8).

# 15. Preparatory Hearings (Article 6 of the Criminal Justice (Serious Fraud) (NI) Order 1988)

- (i) Where a case is listed for an effective preparatory hearing (as per Article 6 of the Criminal Justice (Serious Fraud) (NI) Order 1988) this hearing will be counted as the first day of the trial;
- (ii) Any subsequent effective preparatory hearing will also count towards the length of the trial.

#### 16. Fixed and Time Based Fees

Table 4 sets out the fixed and time based fees payable. All time based payments will be made pro rata on the basis of increments of 5 minutes.

# 17. Arraignment

- (i) This fee is payable to the advocate in any case that is listed as an arraignment and a not guilty plea is entered. Where the defendant pleads guilty or the prosecution offers no evidence a Guilty Plea 1 fee will be paid. The appropriate application fee will be paid where an arraignment is adjourned;
- (ii) Where on occasion a Direction of Proofs, Trial Status Report and/or Indictment is prepared by an advocate other than that briefed in the case a fixed fee of £50 will be payable to the advocate preparing the Direction of Proofs, Trial Status Report and/or Indictment.

# 18. Trial Adjourned

- (i) Where a case is adjourned on the day listed for trial, **not** upon an application by the prosecution or defence, the fee payable is that included in *Table 4 Fixed and Time Based Fees;*
- (ii) Where a case is adjourned on the day listed for trial upon application by the prosecution or defence, an application fee appropriate to the duration of the application shall be payable.

# 19. Application / Mention Fees

- (i) Where advocates appear at an application or mention hearing an application fee appropriate to the category of advocate and duration of the application shall be payable. The application fees set out in the *Table 4- Fixed and Time Based Fees* applies to:
  - a) the hearing of a No Bill application (if not successful on all counts);
  - b) the hearing of an application to stay the indictment on the ground that the defendant is unfit to plead;
  - the hearing of an application to stay the indictment or any count on the ground that the proceedings constitute an abuse of the process of the court (if not successful on all counts);
  - d) any hearing relating to the question of whether any material should be disclosed by the prosecution to the defence or by the defence to the prosecution (whether or not any claim to public interest immunity is made);

- e) the hearing of an application under section 51A of the Judicature (Northern Ireland) Act 1978 for disclosure of material held by third parties;
- any hearing relating to the question of the admissibility as evidence of any material;
- g) any hearing in respect of proceedings arising out of an alleged breach of an order of the Crown Court;
- h) any hearing relating to the forfeiture of assets;
- i) the swearing of a jury following which the trial is adjourned to another day;
- j) applications in respect of bad character, special measures or hearsay;
- k) applications in respect of joinder or severance of indictments;
- the hearing of a case listed for plea which is adjourned for trial;
- m) any hearing (including a trial) which is listed but cannot proceed because of the failure of a defendant or a witness to attend, an application for postponement by the prosecution or defence, the unavailability of a pre-sentence report or other good reason;
- n) bail applications, bench warrant applications, Rooney Hearings, third party applications and other applications;
- o) the hearing of the case listed for mention or review only including applications relating to the date of the trial;
- p) confiscation hearings under the Proceeds of Crime Act;
- q) any application or mention conducted in the normal course of a case.
- (ii) Where a hearing to which this paragraph applies was held on any day of the main hearing of a case on indictment, no separate fee shall be payable in respect of attendance at the hearing, but the hearing shall be included in the length of the main hearing for the purpose of calculating fees;

- (iii) Where a hearing to which this paragraph applies was held prior to the first or only day of the main hearing, it shall not be included in the length of the main hearing for the purpose of calculating fees, and, an application fee appropriate to the duration of the hearing on that day shall be payable;
- (iv) Where an application made under sub-paragraph (i) (a), (b) or (c) was successful and the case did not proceed, a Trial Fee, shall be payable in accordance with *paragraph 14*;
- (v) Where an application is made the fee payable for the hearing shall be determined in accordance with the actual court hearing time taken in dealing with the application;
- (vi) Where more than one application is heard in a single case and disposed of on the same day, the fee payable is the time-based fee as appropriate to the category of prosecuting advocate instructed and the combined duration of the hearings on that day;
- (vii) Where an application fee is payable and the hearing in question lasted more than one day, a further application fee shall be payable to prosecuting advocates in respect of any additional day or part of a day;
- (viii) In determining the duration of an application only the period of time taken in court for the application is counted.

#### 20. Deferred Sentence Fees and Sentence Fees

- (i) A deferred sentence fee shall be payable for appearing at a sentencing hearing where sentence was deferred under Article 3 of the Criminal Justice (Northern Ireland) Order 1996;
- (ii) A sentence fee shall be payable for appearing at a sentencing hearing where the sentence has been adjourned for reports following a plea or finding of guilt;
- (iii) A sentence hearing that lasts for longer than a day attracts a sentence fee for each day;
- (iv) No separate fee is payable if the sentence hearing is part of the main hearing;
- (v) Where the defendant is sentenced on more than one indictment at the same time a separate fee is payable for each indictment;
- (vi) The making of ancillary orders (for example Anti-Social Behaviour Orders etc.) at the time of sentencing is remunerated as part of the sentence fixed fee, whether the application is contested or not.

# 21. Newton Hearing

Where an effective Newton Hearing takes place the following will apply:

- (i) The case for these purposes shall be treated as having gone for trial:
- (ii) The length of trial for this purpose shall be taken to be the combined length of the main hearing (date the defendant entered a guilty plea) and of the Newton Hearing;
- (iii) The provisions of the paragraphs relating to Guilty Pleas 1 or 2 will not apply where the Newton Hearing is adjourned to another date; in which case the prosecution fee will be based on the combined length of the two hearings;
- (iv) The prosecution fee will be calculated using the appropriate rates for a trial based on the category of offence and the category of advocate;
- (v) No additional fee for sentence will be paid if the hearing is completed on that day.

Where the Newton Hearing is non-effective i.e. facts agreed by the prosecution without witnesses being called, the advocate receives the appropriate prosecution fee for the original main hearing and an Application or a Sentence Fee, if the defendant was sentenced on that day, for the second hearing.

# 22. Consultation / Visiting Fees

- (i) A consultation/visiting fee will be payable to prosecuting advocates for any attendance at consultations with witnesses, including expert witnesses, and for any visits to locations relevant to the conduct of the case prior to the commencement of the case or on days when no trial, plea or refresher fees are payable;
- (ii) Advocates will be paid an hourly rate proportionate to the duration of the consultation/visit (see Fixed and Time Based Fees at Table 4);
- (iii) Where a consultation/visiting fee is allowed prosecuting advocates shall also be paid a travelling allowance where appropriate for such attendance in accordance with *Section 7*.

## 23. Listening / Viewing Fees

- (i) A listening/viewing fee shall be payable to prosecuting advocates for any audio or video recording or part thereof which the advocate is instructed to listen to or to view as part of the evidence in the case;
- (ii) Advocates will be paid an hourly rate proportionate to their time records for the duration of the listening or viewing session (see *Table 4Time Based Fees*).

#### 24. Fees for Re-Trials

- (i) Re trial fees will be applicable if a trial was ended by direction of the judge, or it ended with the jury being unable to agree a verdict, and an order was made for a new trial;
- (ii) Where the new trial began either on the same day or within fifteen working days, the case shall be considered as having comprised one trial for the purposes of determining the trial and refresher fees payable under this Scheme;
- (iii) Where the period of time between the first trial ending and the new trial beginning exceeded fifteen working days, a second trial fee shall be payable;
- (iv) The second trial fee payable to prosecuting advocates shall be calculated in accordance with the trial fee rates set out in Table 1Trial Fees, except that each of the elements of the formula (including appropriate uplifts) shall be reduced by:
  - 40%, where the new trial started within two calendar months of the conclusion of the first trial; and
  - 25%, where the new trial did not start within two calendar months of the conclusion of the first trial

The refresher and, travelling allowance elements shall not be so reduced. Refresher rates payable will be determined by Table 1;

(v) This paragraph shall not apply where a different prosecuting advocate is instructed for the re-trial.

#### 25. Provisions for Voir Dire

Where a fee is payable in respect of the holding of a voir dire, any day on which the voir dire was held shall count towards calculating the duration of the trial.

#### 26. Provisions for Cases which do not Proceed

A case in which one or more defendants have pleaded not guilty on arraignment and for which a trial date had been fixed by the courts and in which:

- the prosecution offered no evidence and is discontinued; or
- the prosecution enter a nolle prosequi

shall be treated as a Guilty Plea 2 and shall be payable in accordance with *paragraph 13*.

# 27. Passing of Briefs to other Advocates

Prosecuting advocates must not pass a brief to another prosecuting advocate without the consent of the PPS. Only the advocate appearing at the main hearing is entitled to be paid the main hearing fee. Advocates accepting a passed brief, where the main hearing has taken place, will only be entitled to be paid any appropriate ancillary fees.

#### 28. Wasted Preparation

- (i) The PFS will not allow for payment to be made more than once for the same work save in very exceptional circumstances. This provision applies where the advocate has undertaken preparation work on a case that falls within the provisions of the PFS, but has to return the brief before the case is completed;
- (ii) A fee may be considered for payment **on the application of the advocate** when the brief has been returned in one or more of the following circumstances:
  - a) where the advocate has two or more prosecution cases listed in different courts, subject to providing written evidence that they have taken steps to rectify the problem, e.g. by contacting the PPS to agree the action to be taken. Written evidence may consist of a statement by the advocate setting out details of when instructions were accepted, when the case was listed or fixed and what steps were taken to avoid a listing conflict;
  - b) where the court date is moved by the court in spite of the advocate's objection;
  - c) where the advocate is obliged to withdraw for compelling professional or personal reasons accepted by the PPS.
- (iii) The fee will only be capable of assessment at the conclusion of the

case and will be paid if one of the following criteria have been met:

- a) in trials, the hearing must have lasted five days or more; or
- in a cracked trial (i.e. where the defendant changes his/her plea on or after the day of trial), the prosecution evidence must have exceeded 150 pages.
- (iv) A wasted preparation fee is **not** payable where a guilty plea is entered prior to a trial date having been set;
- (v) An advocate's fee note should be accompanied by sufficient information to support the circumstances in which the brief was returned. This should include copies of relevant documents and details of the dates when the brief was received and returned, details of what abortive work was undertaken and when and, if appropriate, the reason why it was undertaken at that time.
- (vi) The Fees Auditor will determine, in consultation with the AD where applicable, if a payment is justifiable for wasted preparation.
- (vii) When remuneration for wasted preparation is applicable the fee payable will be:
  - a) Passing of brief within one week after the date of the first arraignment, Guilty Plea 1 fee reduced by 50% plus ancillary fees:
  - b) Passing of Brief more than one week after first arraignment but before trial (if any) commenced, Guilty Plea 2 fee reduced by 50% plus ancillary fees;
  - c) Passing of Brief after trial (if any) commenced, Basic Trial Fee as appropriate; there are no provisions for refresher rates and defendant uplifts.
- (viii) The fee payable is determined by:
  - the offence category; and
  - the category of the advocate at the time of instruction in the proceedings (see Tables of Fees)
  - (ix) Wasted preparation does not apply to ancillary hearings;

#### 29. Unused Material

There is no additional fee payable to the advocate for dealing with unused non-sensitive material. Payment for this work is included in the basic fee.

### 30. Additional Advocates

An advocate may be instructed for a particular role in a case such as noting junior or to deal with disclosure. Such advocates will be expected to maintain a record of the days on which they worked and the work undertaken and will be paid on the basis of the following daily advocacy rates:

- £75 for a half day (< 3 hours)
- £150 for a full day (> 3 hours)

**Table 1 – Trial Fees** 

				Senio	r Advocate - T	rial Fees							
	Actual Duration of Trial												
Offence Falling Within	Trial Fee 1 Trial Fee 2 Trial Fee 3 Trial Fee 4 Trial Fee 5 Trial Fee 6 Trial Fee 7 Trial Fee 8 Trial Fee 9 Tri												
	1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days			
Category A	£5,700.00	£7,600.00	£11,400.00	£15,200.00	£19,000.00	£26,600.00	£34,200.00	£45,600.00	£57,000.00	£68,400.00			
Category B	£3,040.00	£4,370.00	£6,365.00	£9,177.00	£11,471.00	£16,060.00	£20,648.00	£27,531.00	£34,414.00	£41,297.00			
Category C	£2,660.00	£3,800.00	£5,510.00	£7,980.00	£9,975.00	£13,965.00	£17,955.00	£23,940.00	£29,925.00	£35,910.00			
Category D	£3,800.00	£5,700.00	£7,600.00	£11,400.00	£14,250.00	£19,950.00	£25,650.00	£34,200.00	£42,749.00	£51,299.00			
Category E	£2,660.00	£3,800.00	£5,510.00	£7,980.00	£9,975.00	£13,965.00	£17,955.00	£23,940.00	£29,925.00	£35,910.00			
Category F	£2,660.00	£3,800.00	£5,510.00	£7,980.00	£9,975.00	£13,965.00	£17,955.00	£23,940.00	£29,925.00	£35,910.00			
Category G	£3,800.00	£5,700.00	£7,600.00	£11,400.00	£14,250.00	£19,950.00	£25,650.00	£34,200.00	£42,749.00	£51,299.00			
Category H	£2,660.00	£3,800.00	£5,510.00	£7,980.00	£9,975.00	£13,965.00	£17,955.00	£23,940.00	£29,925.00	£35,910.00			
Category I	£2,660.00	£3,800.00	£5,510.00	£7,980.00	£9,975.00	£13,965.00	£17,955.00	£23,940.00	£29,925.00	£35,910.00			

				Leading J	unior Advocate	e - Trial Fees							
	Actual Duration of Trial												
Offence Falling Within	Trial Fee 1 Trial Fee 2 Trial Fee 3 Trial Fee 4 Trial Fee 5 Trial Fee 6 Trial Fee 7 Trial Fee 8 Trial Fee 9 Trial												
	1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days			
Category A	£4,275.00	£5,700.00	£8,550.00	£11,400.00	£14,250.00	£19,950.00	£25,650.00	£34,200.00	£42,750.00	£51,300.00			
Category B	£2,280.00	£3,278.00	£4,774.00	£6,883.00	£8,603.00	£12,045.00	£15,486.00	£20,648.00	£25,810.00	£30,972.00			
Category C	£1,995.00	£2,850.00	£4,133.00	£5,985.00	£7,481.00	£10,474.00	£13,466.00	£17,955.00	£22,444.00	£26,933.00			
Category D	£2,850.00	£4,275.00	£5,700.00	£8,550.00	£10,688.00	£16,963.00	£19,238.00	£25,650.00	£32,063.00	£38,475.00			
Category E	£1,995.00	£2,850.00	£4,133.00	£5,985.00	£7,481.00	£10,474.00	£13,466.00	£17,955.00	£22,444.00	£26,933.00			
Category F	£1,995.00	£2,850.00	£4,133.00	£5,985.00	£7,481.00	£10,474.00	£13,466.00	£17,955.00	£22,444.00	£26,933.00			
Category G	£2,850.00	£4,275.00	£5,700.00	£8,550.00	£10,688.00	£16,963.00	£19,238.00	£25,650.00	£32,063.00	£38,475.00			
Category H	£1,995.00	£2,850.00	£4,133.00	£5,985.00	£7,481.00	£10,474.00	£13,466.00	£17,955.00	£22,444.00	£26,933.00			
Category I	£1,995.00	£2,850.00	£4,133.00	£5,985.00	£7,481.00	£10,474.00	£13,466.00	£17,955.00	£22,444.00	£26,933.00			

				Led Jun	ior Advocate -	Trial Fees							
	Actual Duration of Trial												
Offence	Trial Food												
Falling Within	Trial Fee 1	Trial Fee 2	Trial Fee 3	Trial Fee 4	Trial Fee 5	Trial Fee 6	Trial Fee 7	Trial Fee 8	Trial Fee 9	Trial Fee 10			
	1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days			
Category A	£3,420.00	£4,560.00	£6,840.00	£9,120.00	£11,400.00	£15,960.00	£20,520.00	£27,360.00	£34,200.00	£41,040.00			
Category B	£1,824.00	£2,622.00	£3,819.00	£5,506.00	£6,883.00	£9,636.00	£12,389.00	£16,519.00	£20,648.00	£24,778.00			
Category C	£1,330.00	£1,900.00	£2,755.00	£3,990.00	£4,988.00	£6,983.00	£8,978.00	£11,970.00	£14,792.00	£17,955.00			
Category D	£1,900.00	£2,850.00	£3,800.00	£5,700.00	£7,125.00	£9,975.00	£12,825.00	£17,100.00	£21,375.00	£25,650.00			
Category E	£1,330.00	£1,900.00	£2,755.00	£3,990.00	£4,988.00	£6,983.00	£8,978.00	£11,970.00	£14,792.00	£17,955.00			
Category F	£1,330.00	£1,900.00	£2,755.00	£3,990.00	£4,988.00	£6,983.00	£8,978.00	£11,970.00	£14,792.00	£17,955.00			
Category G	£1,900.00	£2,850.00	£3,800.00	£5,700.00	£7,125.00	£9,975.00	£12,825.00	£17,100.00	£21,375.00	£25,650.00			
Category H	£1,330.00	£1,900.00	£2,755.00	£3,990.00	£4,988.00	£6,983.00	£8,978.00	£11,970.00	£14,792.00	£17,955.00			
Category I	£1,330.00	£1,900.00	£2,755.00	£3,990.00	£4,988.00	£6,983.00	£8,978.00	£11,970.00	£14,792.00	£17,955.00			

				Sole Ju	nior Advocate	- Trial Fees							
	Actual Duration of Trial												
Offence Falling Within	Trial Fee 1 Trial Fee 2 Trial Fee 3 Trial Fee 4 Trial Fee 5 Trial Fee 6 Trial Fee 7 Trial Fee 8 Trial Fee 9 Trial Fe												
	1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days			
Category A	£3,705.00	£4,940.00	£7,410.00	£9,880.00	£12,350.00	£17,385.00	£22,230.00	£29,640.00	£37,050.00	£44,460.00			
Category B	£1,976.00	£2,841.00	£4,137.00	£5,965.00	£7,557.00	£10,439.00	£13,422.00	£17,895.00	£22,369.00	£26,842.00			
Category C	£1,729.00	£2,470.00	£3,582.00	£5,187.00	£6,484.00	£9,077.00	£11,671.00	£15,561.00	£19,451.00	£23,342.00			
Category D	£2,470.00	£3,705.00	£4,940.00	£7,410.00	£9,263.00	£12,968.00	£16,673.00	£22,230.00	£27,788.00	£33,345.00			
Category E	£1,729.00	£2,470.00	£3,582.00	£5,187.00	£6,484.00	£9,077.00	£11,671.00	£15,561.00	£19,451.00	£23,342.00			
Category F	£1,729.00	£2,470.00	£3,582.00	£5,187.00	£6,484.00	£9,077.00	£11,671.00	£15,561.00	£19,451.00	£23,342.00			
Category G	£2,470.00	£3,705.00	£4,940.00	£7,410.00	£9,263.00	£12,968.00	£16,673.00	£22,230.00	£27,788.00	£33,345.00			
Category H	£1,729.00	£2,470.00	£3,582.00	£5,187.00	£6,484.00	£9,077.00	£11,671.00	£15,561.00	£19,451.00	£23,342.00			
Category I	£1,729.00	£2,470.00	£3,582.00	£5,187.00	£6,484.00	£9,077.00	£11,671.00	£15,561.00	£19,451.00	£23,342.00			

	Senior Advocate - Refresher Fees											
	Actual Duration of Trial											
Offence Falling Within A-I	Falling Refresher											
	2-8 Days 9-16 Days 17-24 Days 25-32 Days 33-40 Days 41-48 Days 49-56 Days 57-64 Days 65-72 Days Days											
Full Day	£380.00	£456.00	£532.00	£608.00	£684.00	£760.00	£760.00	£760.00	£760.00	£760.00		
Half Day	£190.00	£228.00	£266.00	£304.00	£342.00	£380.00	£380.00	£380.00	£380.00	£380.00		

	Leading Junior Advocate - Refresher Fees												
	Actual Duration of Trial												
Offence Falling Within A-I	Falling Refresher												
	2-8 Days 9-16 Days 17-24 Days 25-32 Days 33-40 Days 41-48 Days 49-56 Days 57-64 Days 65-72 Days Days												
Full Day	£285.00	£342.00	£399.00	£456.00	£513.00	£570.00	£570.00	£570.00	£570.00	£570.00			
Half Day	£143.00	£171.00	£200.00	£228.00	£257.00	£285.00	£285.00	£285.00	£285.00	£285.00			

	Led Junior Advocate - Refresher Fees											
	Actual Duration of Trial											
Offence Falling Within A-I	Falling Refresher											
	2-8 Days 9-16 Days 17-24 Days 25-32 Days 33-40 Days 41-48 Days 49-56 Days 57-64 Days 65-72 Days Days											
Full Day	£190.00	£228.00	£266.00	£304.00	£342.00	£380.00	£380.00	£380.00	£380.00	£380.00		
Half Day	£95.00	£114.00	£133.00	£152.00	£171.00	£190.00	£190.00	£190.00	£190.00	£190.00		

	Sole Junior Advocate - Refresher Fees											
Actual Duration of Trial												
Offence Falling Within A-I	Falling Refresher											
	2-8 Days 9-16 Days 17-24 Days 25-32 Days 33-40 Days 41-48 Days 49-56 Days 57-64 Days 65-72 Days Days											
Full Day	£266.00	£296.00	£346.00	£395.00	£445.00	£494.00	£494.00	£494.00	£494.00	£494.00		
Half Day	£133.00	£148.00	£173.00	£198.00	£222.00	£247.00	£247.00	£247.00	£247.00	£247.00		

Table 2 – Guilty Plea 1 Fees

Guilty Plea 1 Fees												
Offence Falling Within	Senior Advocate	Leading Junior Advocate	Led Junior Advocate	Sole Junior Advocate								
Category A	£2,717.00	£2,038.00	£1,359.00	£1,766.00								
Category B	£1,729.00	£1,297.00	£865.00	£1,124.00								
Category C	£1,482.00	£1,112.00	£741.00	£963.00								
Category D	£1,877.00	£1,408.00	£939.00	£1,221.00								
Category E	£1,482.00	£1,112.00	£741.00	£963.00								
Category F	£1,482.00	£1,112.00	£741.00	£963.00								
Category G	£1,877.00	£1,408.00	£939.00	£1,221.00								
Category H	£1,482.00	£1,112.00	£741.00	£963.00								
Category I	£1,482.00	£1,112.00	£741.00	£963.00								

Table 3 – Guilty Plea 2 Fees

Guilty Plea 2 Fees								
Offence Falling Within	Pages of Prosecution Evidence	Senior Advocate	Leading Junior Advocate	Led Junior Advocate	Sole Junior Advocate			
Category A	0 - 750	£4,275.00	£3,206.00	£2,138.00	£2,779.00			
Category A	751 - 3000	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category A	3001 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category B	1 - 687	£2,280.00	£1,710.00	£1,140.00	£1,482.00			
Category B	688 - 1462	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category B	1463 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category C	1 -714	£1,995.00	£1,496.00	£998.00	£1,297.00			
Category C	715 - 1262	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category C	1263 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category D	1 - 733	£2,850.00	£2,137.50	£1,425.00	£1,853.00			
Category D	734 - 1631	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category D	1632 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category E	1 - 750	£1,995.00	£1,496.00	£998.00	£1,297.00			
Category E	751 - 1636	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category E	1637 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category F	1 -677	£1,995.00	£1,496.00	£998.00	£1,297.00			
Category F	678 - 1225	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category F	1226 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category G	0 - 750	£2,850.00	£2,137.50	£1,425.00	£1,853.00			
Category G	751 - 1750	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category G	1751 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category H	1 - 750	£1,995.00	£1,496.00	£998.00	£1,297.00			
Category H	751 - 1443	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category H	1444 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			
Category I	1 - 870	£1,995.00	£1,496.00	£998.00	£1,297.00			
Category I	871 - 1606	£7,980.00	£5,985.00	£3,990.00	£5,187.00			
Category I	1607 +	£17,955.00	£13,466.00	£8,978.00	£11,671.00			

**Table 4 – Fixed and Time Based Fees** 

Type of Work	Senior Advocate	Leading Junior Advocate	Led Junior Advocate	Sole Junior Advocate
Arraignment – Not Guilty	£114.00	£107.00	£71.00	£93.00
Appearing at Sentence Hearing Deferred (Art 3 Treatment of Offenders Order 1996)	£304.00	£228.00	£152.00	£198.00
Appearing at other Sentence hearing	£228.00	£171.00	£114.00	£148.00
Trial Adjourned on Day (Not on application by Defence or Prosecution)	£380.00	£285.00	£190.00	£247.00
Mention /Application Fee (hearing not exceeding 1.5 hours)	£95.00	£89.00	£60.00	£78.00
Application Fee 2 (hearing exceeding 1.5 hours but not exceeding 3 hours)	£190.00	£143.00	£95.00	£124.00
Application Fee 3 (hearing exceeding 3 hours)	£380.00	£285.00	£190.00	£247.00
Consultations/views/ Listening to or viewing tapes etc.	Per Hour £60.00	Per Hour £45.00	Per Hour £30.00	Per Hour £39.00

# Section 2: Magistrates'/Youth Court

#### **Magistrates'/Youth Court**

This section relates to payments to independent advocates in the Adult Magistrates' Court and the Youth Court. Where the term Magistrates' is used in this section it should be considered to cover both.

Advocates appearing for the Prosecution in the Magistrates' Court will be paid at PPS sessional rates. No fees are payable for preparation time.

#### 1. Half Day Sessional Rates

The half day sessional rate of £125 is paid where the advocate appears for the Prosecution in any Magistrates' Court for up to 3 hours in any one day. This may be in one or more courts. The actual court hearing time will determine the length of the session.

# 2. Full Day Sessional Rates

The full day sessional rate of £220 is paid where the advocate appears for the Prosecution in any Magistrates' Court for over 3 hours in any one day. This may be in one or more courts. The actual court hearing time will determine the length of the session.

# 3. Preparation of Additional Back-up Contests

There is no provision for payment of preparation time in relation to additional contests that are listed as back-up but are ineffective. If a contest is ineffective PPS will endeavour to ensure, where possible, that the advocate retains the brief and hence they will subsequently receive payment for these contests when they are finally dealt with.

# 4. Senior Advocates and Indictable Only Cases

In the event that:

- the defence is represented by a Senior Advocate;
- PPS brief a Senior Advocate; or
- an indictable only case is conducted in the Youth Court due to the age of the defendant;

the advocate will be remunerated at 75% of the fees payable under the Crown Court arrangements as at Section 1 above.

# 5. Exceptional Cases

There are some cases, primarily prosecutions on behalf of NI Civil Service Departments:

- (i) which are hybrid and are prosecuted summarily due to the fact that the sentencing powers of the Magistrates' Court are sufficient to deal with the case, but for which the preparation work required is disproportionate relative to the usual case; or
- (ii) where the case has exceptional legal difficulties, complexity of evidence or requires specialist knowledge and the preparatory work required is significantly above that normally necessary in a Magistrates' Court case.

These cases should be remunerated on the basis of an agreed hourly rate for pre contest preparation, see Hourly Work Rates table below. Full or half day refresher rates being paid for contest days in accordance with the Crown Court arrangements, see daily refresher rates table below.

These cases should be identified and the basis of remuneration determined and approved by a Senior Assistant Director prior to instruction. Counsel will be instructed in writing and required to keep a record of hours incurred in order to demonstrate that any research or preparatory work carried out was necessary to prepare the case for contest. Uplifts for multiple defendants are not payable and fixed fees are paid at Crown Court rates, Table 4.

#### Hourly Work Rates

	Preparation (£ Per Hour)	
Senior Advocate	£110	
Leading Junior Advocate	£90	
Led Junior Advocate	£70	
Sole Junior Advocate	£80	

# **Daily Refresher Rates**

	Full Day	Half Day
Senior Advocate	£380.00	£190.00
Leading Junior Advocate	£285.00	£143.00
Led Junior Advocate	£190.00	£95.00
Sole Junior Advocate	£266.00	£133.00

# Section 3: County Court Appeals

#### **County Court Appeals**

This section relates to payments in the County Court. Independent advocates appearing for the Prosecution in the County Court will be paid at PPS session rates. No fees are payable for preparation time

#### 1. Half Day Sessional Rates

The half day sessional rate of £140 is paid where the advocate appears for the Prosecution in any County Court for up to 3 hours in any one day. This may be in one or more courts. The actual court hearing time will determine the length of the session.

#### 2. Full Day Sessional Rates

The full day sessional rate of £245 is paid where the advocate appears for the Prosecution in any County Court for over 3 hours in any one day. This may be in one or more courts. The actual court hearing time will determine the length of the session.

#### 3. Preparation of Additional Back-up Appeals

There is no provision for payment of preparation time in relation to additional appeals that are listed as back-up but are ineffective. If an appeal is ineffective PPS will endeavour to ensure where possible that the advocate retains the brief and hence they will subsequently receive payment for this appeal when they are finally dealt with.

#### 4. Senior Advocates and Indictable Only Cases

In the event that:

- the defence is represented by a Senior Advocate;
- PPS brief a Senior Advocate; or
- the appeal is in respect of an indictable only case which has been conducted in the Youth Court due to the age of the defendant;

the advocate will be remunerated at **50%** of the fees payable under the Crown Court arrangements as at Section 1 above.

#### 5. Exceptional Cases

There are some appeals where the case has exceptional legal difficulties, complexity of evidence or requires specialist knowledge and the preparatory work required is significantly above that normally necessary in a County Court appeal.

These cases should be remunerated on the basis of an agreed hourly rate for pre appeal preparation, refer to Hourly Work Rates table below. Full or half day refresher rates being paid for contest days in accordance with the Crown Court arrangements, see daily refresher rates table below.

These cases should be identified and the basis of remuneration determined and approved by a Senior Assistant Director prior to instruction. Counsel will be instructed in writing and required to keep a record of hours incurred in order to demonstrate that any research or preparatory work carried out was necessary to prepare the case for contest. Uplifts for multiple defendants are not payable and fixed fees are paid at Crown Court rates, Table 4.

#### Hourly Work Rates

	Preparation (£ Per Hour)
Senior Advocate	£110
Leading Junior Advocate	£90
Led Junior Advocate	£70
Sole Junior Advocate	£80

#### Daily Refresher Rates

	Full Day	Half Day
Senior Advocate	£380.00	£190.00
Leading Junior Advocate	£285.00	£143.00
Led Junior Advocate	£190.00	£95.00
Sole Junior Advocate	£266.00	£133.00

# Section 4: High Court Bails

#### **High Court Bails**

Independent advocates appearing for the Prosecution in High Court Bails will be paid at the PPS Fixed Application Rates for the cases in which they are instructed.

#### 1. Application Rates

The rates to be applied are laid out in the table below

	Fee
1 <sup>st</sup> Application in list	£98
All other Applications in same list	£41
Callout on Weekends - up to 3 Applications	£236
Callout on Weekends - further Applications	£41

#### 2. Exceptional Cases

Where a bail application in the High Court has exceptional legal difficulties, complexity of evidence or requires specialist knowledge, a Senior Assistant Director may authorise remuneration of the advocate on the basis of an hourly rate of pay for the hours actually worked (see Section 6 of this scheme).

# Section 5: Court of Appeal

#### **Court of Appeal**

This section relates to payments to independent advocates in the Court of Appeal. Advocates appearing for the Prosecution in the Court of Appeal will be paid at PFS rates for the cases in which they are instructed.

#### 1. Basis of the Fee

The fee payable to the prosecuting advocate in respect of work conducted in the Court of Appeal will be dependent on:

- (i) The length of original trial in the Crown Court
- (ii) The type of appeal:
  - against sentence
  - against conviction
- (iii) The category of offence A-I;
- (iv) The category of advocate:
  - Senior Advocate:
  - Leading Junior Advocate;
  - · Led Junior Advocate; and
  - Sole Advocate
- (v) In the case of Appeal against Conviction, the length of time between sentence and the appeal being heard:
  - 0-6 months
  - 7-12 months
  - +13 Months

The rates set out under Tables 5 and 6 are <u>inclusive</u> of all preparation work, the appeal hearing and refreshers. There are no uplifts applied for multiple defendants.

#### 2. Appeals against Conviction

Where an appeal against conviction proceeds to hearing a fee will be payable in accordance with the rates set out under *Table 5*, to cover all preparation, consultations, listening viewing tapes etc.

Where an appeal against conviction is withdrawn or proceeds against sentence only, the fee payable will be calculated as 50% of that in accordance with *Table 5 (but not less than the minimum sentence fee).* 

#### 3. Appeals against Sentence

Where an appeal against sentence proceeds to hearing a fee will be payable in accordance with the rates set out under *Table 6*.

Where an appeal against sentence is withdrawn the fee payable will be calculated as **50%** of that in accordance with *Table 6*.

#### 4. Ancillary Hearings

All mentions, reviews and application hearings will be paid in accordance with the table below.

Type of Work	Senior Advocate	Leading Junior Advocate	Led Junior Advocate	Sole Junior Advocate	
Advocacy Fee (hearing not exceeding 1.5 hours)	£95	£89	£60	£78	
Advocacy Fee 2 (hearing exceeding 1.5 hours but not exceeding 3 hours)	£190	£143	£95	£124	
Advocacy Fee 3 (hearing exceeding 3 hours)	£380	£285	£190	£247	
Consultations/views/ Listening to or viewing tapes etc.	Per Hour £60.00	Per Hour £45.00	Per Hour £30.00	Per Hour £39.00	

**Table 5 - Appeals against Conviction Fees** 

	Senior Advocate - Appeal Against Conviction Fees										
				Actual Dur	ation of Original	inal Trial in C	rown Court				
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10
		1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days
Category A	0- 6	£2,280.00	£3,040.00	£4,560.00	£6,080.00	£7,600.00	£10,640.00	£13,680.00	£18,240.00	£22,800.00	£27,360.00
Category A	7 - 12	£3,420.00	£4,560.00	£6,840.00	£9,120.00	£11,400.00	£15,960.00	£20,520.00	£27,360.00	£34,200.00	£41,040.00
Category A	13 +	£4,560.00	£6,080.00	£9,120.00	£12,160.00	£15,200.00	£21,280.00	£27,360.00	£36,480.00	£45,600.00	£54,720.00
Category B	0- 6	£1,216.00	£1,748.00	£2,546.00	£3,671.00	£4,588.00	£6,424.00	£8,259.00	£11,012.00	£13,766.00	£16,519.00
Category B	7 - 12	£1,824.00	£2,622.00	£3,819.00	£5,506.00	£6,883.00	£9,636.00	£12,389.00	£16,519.00	£20,648.00	£24,778.00
Category B	13 +	£2,432.00	£3,496.00	£5,092.00	£7,342.00	£9,177.00	£12,848.00	£16,518.00	£22,025.00	£27,531.00	£33,038.00
Category C	0- 6	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,970.00	£14,364.00
Category C	7 - 12	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00
Category C	13 +	£2,128.00	£3,040.00	£4,408.00	£6,384.00	£7,980.00	£11,172.00	£14,364.00	£19,152.00	£23,940.00	£28,728.00
Category D	0-6	£1,520.00	£2,280.00	£3,040.00	£4,560.00	£5,700.00	£7,980.00	£10,260.00	£13,680.00	£17,100.00	£20,520.00
Category D	7 - 12	£2,280.00	£3,420.00	£4,560.00	£6,840.00	£8,550.00	£11,970.00	£15,390.00	£20,520.00	£25,649.40	£30,780.00
Category D	13 +	£3,040.00	£4,560.00	£6,080.00	£9,120.00	£11,400.00	£15,960.00	£20,520.00	£27,360.00	£34,199.00	£41,039.00
Category E	0-6	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,970.00	£14,364.00
Category E	7 - 12	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00
Category E	13 +	£2,128.00	£3,040.00	£4,408.00	£6,384.00	£7,980.00	£11,172.00	£14,364.00	£19,152.00	£23,940.00	£28,728.00
Category F	0-6	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,970.00	£14,364.00
Category F	7 - 12	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00
Category F	13 +	£2,128.00	£3,040.00	£4,408.00	£6,384.00	£7,980.00	£11,172.00	£14,364.00	£19,152.00	£23,940.00	£28,728.00

**Table 5 - Appeals against Conviction Fees** 

	Senior Advocate - Appeal Against Conviction Fees												
				Actual Dur	ation of Origi	inal Trial in C	rown Court						
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10		
Category G	0-6	£1,520.00	£2,280.00	£3,040.00	£4,560.00	£5,700.00	£7,980.00	£10,260.00	£13,680.00	£17,099.60	£20,520.00		
Category G	7 - 12	£2,280.00	£3,420.00	£4,560.00	£6,840.00	£8,550.00	£11,970.00	£15,390.00	£20,520.00	£25,649.00	£30,779.00		
Category G	13 +	£3,040.00	£4,560.00	£6,080.00	£9,120.00	£11,400.00	£15,960.00	£20,520.00	£27,360.00	£34,199.00	£41,039.00		
Category H	0- 6	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,970.00	£14,364.00		
Category H	7 - 12	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00		
Category H	13 +	£2,128.00	£3,040.00	£4,408.00	£6,384.00	£7,980.00	£11,172.00	£14,364.00	£19,152.00	£23,940.00	£28,728.00		
Category I	0-6	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,970.00	£14,364.00		
Category I	7 - 12	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00		
Category I	13 +	£2,128.00	£3,040.00	£4,408.00	£6,384.00	£7,980.00	£11,172.00	£14,364.00	£19,152.00	£23,940.00	£28,728.00		

	Leading Junior Advocate - Appeal Against Conviction Fees										
				Actual Du	ration of Orig	inal Trial in C	rown Court				
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10
		1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days
Category A	0-6	£1,710.00	£2,280.00	£3,420.00	£4,560.00	£5,700.00	£7,980.00	£10,260.00	£13,680.00	£17,100.00	£20,520.00
Category A	7 - 12	£2,565.00	£3,420.00	£5,130.00	£6,840.00	£8,550.00	£11,970.00	£15,390.00	£20,520.00	£25,650.00	£30,780.00
Category A	13 +	£3,420.00	£4,560.00	£6,840.00	£9,120.00	£11,400.00	£15,960.00	£20,520.00	£27,360.00	£34,200.00	£41,040.00
Category B	0- 6	£912.00	£1,311.00	£1,910.00	£2,753.00	£3,441.00	£4,818.00	£6,194.00	£8,259.00	£10,324.00	£12,389.00
Category B	7 - 12	£1,368.00	£1,967.00	£2,864.00	£4,130.00	£5,162.00	£7,227.00	£9,292.00	£12,389.00	£15,486.00	£18,583.00
Category B	13 +	£1,824.00	£2,622.00	£3,819.00	£5,506.00	£6,882.00	£9,636.00	£12,389.00	£16,518.00	£20,648.00	£24,778.00
Category C	0- 6	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,992.00	£4,190.00	£5,386.00	£7,182.00	£8,978.00	£10,773.00
Category C	7 - 12	£1,197.00	£1,710.00	£2,480.00	£3,591.00	£4,489.00	£6,284.00	£8,080.00	£10,773.00	£13,466.00	£16,160.00
Category C	13 +	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00
Category D	0-6	£1,140.00	£1,710.00	£2,280.00	£3,420.00	£4,275.00	£6,785.00	£7,695.00	£10,260.00	£12,825.00	£15,390.00
Category D	7 - 12	£1,710.00	£2,565.00	£3,420.00	£5,130.00	£6,413.00	£10,178.00	£11,543.00	£15,390.00	£19,238.00	£23,085.00
Category D	13 +	£2,280.00	£3,420.00	£4,560.00	£6,840.00	£8,550.00	£13,570.00	£15,390.00	£20,520.00	£25,650.00	£30,780.00
Category E	0- 6	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,992.00	£4,190.00	£5,386.00	£7,182.00	£8,978.00	£10,773.00
Category E	7 - 12	£1,197.00	£1,710.00	£2,480.00	£3,591.00	£4,489.00	£6,284.00	£8,080.00	£10,773.00	£13,466.00	£16,160.00
Category E	13 +	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00
Category F	0- 6	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,992.00	£4,190.00	£5,386.00	£7,182.00	£8,978.00	£10,773.00
Category F	7 - 12	£1,197.00	£1,710.00	£2,480.00	£3,591.00	£4,489.00	£6,284.00	£8,080.00	£10,773.00	£13,466.00	£16,160.00
Category F	13 +	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00

**Table 5 - Appeals against Conviction Fees** 

	Leading Junior Advocate - Appeal Against Conviction Fees												
				Actual Du	ration of Orig	inal Trial in C	rown Court						
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10		
Category G	0-6	£1,140.00	£1,710.00	£2,280.00	£3,420.00	£4,275.00	£6,785.00	£7,695.00	£10,260.00	£12,825.00	£15,390.00		
Category G	7 - 12	£1,710.00	£2,565.00	£3,420.00	£5,130.00	£6,413.00	£10,178.00	£11,543.00	£15,390.00	£19,238.00	£23,085.00		
Category G	13 +	£2,280.00	£3,420.00	£4,560.00	£6,840.00	£8,550.00	£13,570.00	£15,390.00	£20,520.00	£25,650.00	£30,780.00		
Category H	0- 6	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,992.00	£4,190.00	£5,386.00	£7,182.00	£8,978.00	£10,773.00		
Category H	7 - 12	£1,197.00	£1,710.00	£2,480.00	£3,591.00	£4,489.00	£6,284.00	£8,080.00	£10,773.00	£13,466.00	£16,160.00		
Category H	13 +	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,380.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00		
Category I	0- 6	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,992.00	£4,190.00	£5,386.00	£7,182.00	£8,978.00	£10,773.00		
Category I	7 - 12	£1,197.00	£1,710.00	£2,480.00	£3,591.00	£4,489.00	£6,284.00	£8,080.00	£10,773.00	£13,466.00	£16,160.00		
Category I	13 +	£1,596.00	£2,280.00	£3,306.00	£4,788.00	£5,985.00	£8,379.00	£10,773.00	£14,364.00	£17,955.00	£21,546.00		

			L	ed Junior Ac	Ivocate - App	eal Against C	Conviction Fe	es			
				Actual Du	ration of Orig	inal Trial in C	rown Court				
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10
		1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days
Category A	0-6	£1,368.00	£1,824.00	£2,736.00	£3,648.00	£4,560.00	£6,384.00	£8,208.00	£10,944.00	£13,680.00	£16,416.00
Category A	7 - 12	£2,052.00	£2,736.00	£4,104.00	£5,472.00	£6,840.00	£9,576.00	£12,312.00	£16,416.00	£20,520.00	£24,624.00
Category A	13 +	£2,736.00	£3,648.00	£5,472.00	£7,296.00	£9,120.00	£12,768.00	£16,416.00	£21,888.00	£27,360.00	£32,832.00
Category B	0- 6	£730.00	£1,049.00	£1,528.00	£2,202.00	£2,753.00	£3,854.00	£4,956.00	£6,608.00	£8,259.00	£9,911.00
Category B	7 - 12	£1,094.00	£1,573.00	£2,291.00	£3,304.00	£4,130.00	£5,782.00	£7,433.00	£9,911.00	£12,389.00	£14,867.00
Category B	13 +	£1,459.00	£2,098.00	£3,055.00	£4,405.00	£5,506.00	£7,709.00	£9,911.00	£13,215.00	£16,518.00	£19,822.00
Category C	0- 6	£532.00	£760.00	£1,102.00	£1,596.00	£1,995.00	£2,793.00	£3,591.00	£4,788.00	£5,917.00	£7,182.00
Category C	7 - 12	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,993.00	£4,190.00	£5,387.00	£7,182.00	£8,875.00	£10,773.00
Category C	13 +	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,834.00	£14,364.00
Category D	0- 6	£760.00	£1,140.00	£1,520.00	£2,280.00	£2,850.00	£3,990.00	£5,130.00	£6,840.00	£8,550.00	£10,260.00
Category D	7 - 12	£1,140.00	£1,710.00	£2,280.00	£3,420.00	£4,275.00	£5,985.00	£7,695.00	£10,260.00	£12,825.00	£15,390.00
Category D	13 +	£1,520.00	£2,280.00	£3,040.00	£4,560.00	£5,700.00	£7,980.00	£10,260.00	£13,680.00	£17,100.00	£20,520.00
Category E	0- 6	£532.00	£760.00	£1,102.00	£1,596.00	£1,995.00	£2,793.00	£3,591.00	£4,788.00	£5,917.00	£7,182.00
Category E	7 - 12	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,993.00	£4,190.00	£5,387.00	£7,182.00	£8,875.00	£10,773.00
Category E	13 +	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,834.00	£14,364.00
	0.0	0500.00	0700.00	04.400.00	04.500.00	04.005.60	00 700 60	00 504 60	04.700.00	05.047.60	07.400.00
Category F	0-6	£532.00	£760.00	£1,102.00	£1,596.00	£1,995.00	£2,793.00	£3,591.20	£4,788.00	£5,917.00	£7,182.00
Category F	7 - 12	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,993.00	£4,190.00	£5,386.80	£7,182.00	£8,875.00	£10,773.00
Category F	13 +	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,834.00	£14,364.00

**Table 5 - Appeals against Conviction Fees** 

				Led Junior Ac	Ivocate - App	eal Against C	Conviction Fe	es			
				Actual Du	ration of Orig	inal Trial in C	rown Court				
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10
Category G	0-6	£760.00	£1,140.00	£1,520.00	£2,280.00	£2,850.00	£3,990.00	£5,130.00	£6,840.00	£8,550.00	£10,260.00
Category G	7 - 12	£1,140.00	£1,710.00	£2,280.00	£3,420.00	£4,275.00	£5,985.00	£7,695.00	£10,260.00	£12,825.00	£15,390.00
Category G	13 +	£1,520.00	£2,280.00	£3,040.00	£4,560.00	£5,700.00	£7,980.00	£10,260.00	£13,680.00	£17,100.00	£20,520.00
Category H	0- 6	£532.00	£760.00	£1,102.00	£1,596.00	£1,995.00	£2,793.00	£3,591.00	£4,788.00	£5,917.00	£7,182.00
Category H	7 - 12	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,993.00	£4,190.00	£5,387.00	£7,182.00	£8,875.00	£10,773.00
Category H	13 +	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,183.00	£9,576.00	£11,834.00	£14,364.00
Category I	0- 6	£532.00	£760.00	£1,102.00	£1,596.00	£1,995.00	£2,793.00	£3,591.00	£4,788.00	£5,917.00	£7,182.00
Category I	7 - 12	£798.00	£1,140.00	£1,653.00	£2,394.00	£2,993.00	£4,190.00	£5,387.00	£7,182.00	£8,875.00	£10,773.00
Category I	13 +	£1,064.00	£1,520.00	£2,204.00	£3,192.00	£3,990.00	£5,586.00	£7,182.00	£9,576.00	£11,834.00	£14,364.00

	Sole Junior Advocate - Appeal Against Conviction Fees										
				Actual Du	ration of Orig	jinal Trial in (	Crown Court				
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10
		1-8 Days	9-16 Days	17-24 Days	25-32 Days	33-40 Days	41-48 Days	49-56 Days	57-64 Days	65-72 Days	73-80 Days
Category A	0- 6	£1,482.00	£1,976.00	£2,964.00	£3,952.00	£4,940.00	£6,954.00	£8,892.00	£11,856.00	£14,820.00	£17,784.00
Category A	7 - 12	£2,223.00	£2,964.00	£4,446.00	£5,928.00	£7,410.00	£10,431.00	£13,338.00	£17,784.00	£22,230.00	£26,676.00
Category A	13 +	£2,964.00	£3,952.00	£5,928.00	£7,904.00	£9,880.00	£13,908.00	£17,784.00	£23,712.00	£29,640.00	£35,568.00
Category B	0- 6	£790.00	£1,136.00	£1,655.00	£2,386.00	£3,023.00	£4,176.00	£5,369.00	£7,158.00	£8,948.00	£10,739.00
Category B	7 - 12	£1,186.00	£1,705.00	£2,482.00	£3,579.00	£4,534.00	£6,263.00	£8,053.00	£10,737.00	£13,421.00	£16,105.00
Category B	13 +	£1,581.00	£2,273.00	£3,310.10	£4,772.00	£6,046.00	£8,351.00	£10,738.00	£14,316.00	£17,895.00	£21,474.00
Category C	0- 6	£692.00	£988.00	£1,433.00	£2,075.00	£2,594.00	£3,631.00	£4,668.00	£6,224.00	£7,780.00	£9,337.00
Category C	7 - 12	£1,037.00	£1,482.00	£2,149.00	£3,112.00	£3,890.00	£5,446.00	£7,003.00	£9,337.00	£11,671.00	£14,005.00
Category C	13 +	£1,383.00	£1,976.00	£2,866.00	£4,150.00	£5,187.00	£7,262.00	£9,337.00	£12,449.00	£15,561.00	£18,674.00
0.1	0.0	0000 00	04 400 00	04.070.00	00 00 4 00	00 705 00	05.407.00	00 000 00	00 000 00	0444 450 00	040 000 00
Category D	0-6	£988.00	£1,482.00	£1,976.00	£2,964.00	£3,705.00	£5,187.00	£6,669.00	£8,892.00	£111,150.00	£13,338.00
Category D	7 - 12	£1,482.00	£2,223.00	£2,964.00	£4,446.00	£5,558.00	£7,781.00	£10,004.00	£13,338.00	£166,725.00	£20,007.00
Category D	13 +	£1,976.00	£2,964.00	£3,952.00	£5,928.00	£7,410.00	£10,374.00	£13,338.00	£17,784.00	£222,300.00	£26,676.00
Cotogony	0- 6	£692.00	£988.00	C1 422 00	C2 075 00	C2 F04 00	£3,631.00	£4,668.00	£6,224.00	£7,780.00	£9,337.00
Category E	7 - 12	£1,037.00	£988.00 £1,482.00	£1,433.00 £2,149.00	£2,075.00 £3,113.00	£2,594.00 £3,890.00	£5,446.00	£4,008.00 £7,003.00	£6,224.00 £9,337.00	£11,671.00	£9,337.00 £14,005.00
Category E	13 +	£1,037.00 £1,383.00	£1,462.00 £1,976.00	£2,149.00 £2,866.00	£4,150.00	£5,090.00 £5,187.00	£5,446.00 £7,262.60	£9,337.00	£9,337.00 £12,449.00	£11,671.00 £15,561.00	£14,005.00 £18,674.00
Category E	13+	£1,303.00	£1,970.00	£2,000.00	£4,130.00	£3,107.00	£1,202.00	£9,331.00	£12,449.00	£13,301.00	210,074.00
Category F	0- 6	£692.00	£988.00	£1,433.00	£2,075.00	£2,594.00	£3,631.00	£4,668.00	£6,224.00	£7,780.00	£9,337.00
Category F	7 - 12	£1,037.00	£1,482.00	£2,149.00	£3,112.00	£3,890.00	£5,446.00	£7,003.00	£9,337.70	£11,671.00	£14,005.00
Category F	13 +	£1,383.00	£1,976.00	£2,866.00	£4,150.00	£5,187.00	£7,262.00	£9,337.00	£12,449.00	£15,561.00	£18,674.00

**Table 5 - Appeals against Conviction Fees** 

	Sole Junior Advocate - Appeal Against Conviction Fees												
				Actual Du	ration of Orio	ginal Trial in (	Crown Court						
Offence Falling Within	Months between Sentence and Appeal Hearing	Appeal Fee 1	Appeal Fee 2	Appeal Fee 3	Appeal Fee 4	Appeal Fee 5	Appeal Fee 6	Appeal Fee 7	Appeal Fee 8	Appeal Fee 9	Appeal Fee 10		
Category G	0- 6	£988.00	£1,482.00	£1,976.00	£2,964.00	£3,705.00	£5,187.00	£6,669.00	£8,892.00	£111,150.00	£13,338.00		
Category G	7 - 12	£1,482.00	£2,223.00	£2,964.00	£4,446.00	£5,558.00	£7,781.00	£10,004.00	£13,338.00	£166,725.00	£20,007.00		
Category G	13 +	£1,976.00	£2,964.00	£3,952.00	£5,928.00	£7,410.00	£10,374.00	£13,338.00	£17,784.00	£222,300.00	£26,676.00		
Category H	0- 6	£692.00	£988.00	£1,433.00	£2,075.00	£2,594.00	£3,631.00	£4,668.00	£6,224.00	£7,780.00	£9,337.00		
Category H	7 - 12	£1,037.00	£1,482.00	£2,149.00	£3,112.00	£3,890.00	£5,446.00	£7,003.00	£9,337.00	£11,671.00	£14,005.00		
Category H	13 +	£1,383.00	£1,976.00	£2,866.00	£4,150.00	£5,187.00	£7,262.00	£9,337.00	£12,449.00	£15,561.00	£18,674.00		
Category I	0- 6	£693.00	£988.00	£1,433.00	£2,075.00	£2,594.00	£3,631.00	£4,668.00	£6,224.00	£7,780.00	£9,337.00		
Category I	7 - 12	£1,037.00	£1,482.00	£2,149.00	£3,112.00	£3,890.00	£5,446.00	£7,003.00	£9,337.00	£11,671.00	£14,005.00		
Category I	13 +	£1,383.00	£1,976.00	£2,866.00	£4,150.60	£5,187.00	£7,262.00	£9,337.00	£12,449.00	£15,561.00	£18,674.00		

**Table 6 - Appeal against Sentence Fees** 

Offence Falling Within	Senior Counsel	Leading Junior Counsel	Led Junior Counsel	Sole Junior Counsel
Category A	£1,710.00	£1,282.40	£855.20	£1,111.60
Category B	£912.00	£684.00	£456.00	£592.80
Category C	£798.00	£598.40	£399.20	£518.80
Category D	£1,140.00	£855.20	£570.00	£741.20
Category E	£798.00	£598.40	£399.20	£518.80
Category F	£798.00	£598.40	£399.20	£518.80
Category G	£1,140.00	£855.20	£570.00	£741.20
Category H	£798.00	£598.40	£399.20	£518.80
Category I	£798.00	£598.40	£399.20	£518.80

# **Section 6: Hourly Rate Work**

#### **Hourly Rate (HR) Instructions**

#### 1. Scope of Payment by Hourly Rate

Whilst it is envisaged that the arrangements detailed in *sections 1-5* of this scheme will be applicable to the majority of work undertaken on behalf of the PPS there are some specific pieces of work falling into the categories listed below for which Advocates will be instructed and paid on an hourly rate basis:

- Pre-Committal Preparation;
- Opinions;
- Restraint;
- Confiscation;
- Proceeds of Crime actions:
- Viewing Sensitive Material for PII Purposes;
- Extradition Cases;
- Exceptional High Court Bail applications;
- Appeals Against Terminating Rulings;
- Other matters determined by PPS.

#### 2. Approval Process

Work identified as falling into the categories listed must be referred to an Assistant Director (AD), who will decide:

- if it is necessary to instruct an advocate;
- the category of advocate to be instructed;
- the applicability of the hourly rate basis of remuneration; and
- the estimate of hours.

A record of this decision will be made in writing and this work will be paid at the standard hourly rate which will be paid in 5 minute intervals.

#### 3. Exceptional Rate

Where it is considered a case warrants a higher rate of remuneration than the standard an application should be made via the Central Fees Team for review by the Fees Auditor and subsequent approval by the relevant Senior Assistant Director (SAD).

Some of the following indicators may influence consideration of payment of an exceptional rate:

- The case is based on a new point of law as yet untested within the NI jurisdiction;
- The case involves significant sensitive material and potential PII issues

- The case involves complex technical, forensic or medical evidence which would require research and preparation above the norm; and
- The case has multiple victims for example historic sexual abuse which would require extensive sensitive consultation with victims.

#### 4. Instruction of Advocates

The advocate will be briefed by means of the standard letter at *Annex A* and the Central Fees Team will be issued with a copy of this letter for accruals purposes. The letter will detail the work to be undertaken, the hourly rate payable and where applicable an estimate of hours necessary to complete the work.

#### 5. Advocates' Work Records

Advocates will be required to maintain a detailed and comprehensive schedule of hours worked setting out the date and time periods when the work was undertake. An Excel spreadsheet template, available from the PPS at <a href="https://www.ppsni.gov.uk">www.ppsni.gov.uk</a>., can be used for this purpose (illustrated at Annex B). Such records will be required to be submitted in support of fee notes.

The maximum hours reasonably estimated as required to complete this work will have been set out in the letter of instruction, where applicable, and agreed by the advocate prior to accepting instruction. The advocate should have notified PPS during conduct of the work if the time actually required exceeded the original estimate and the reasons for this.

The fees will generally be audited and paid at the end of the case or at intervals of six months for prolonged cases. Advocates will be paid the hourly rate for work properly and reasonably undertaken as recorded in their work record.

The AD will be required to approve the hours as reasonable. Should the record of hours appear excessive an explanation should be sought from the advocate. In the absence of a reasonable explanation, the AD should pay on the basis of the number of hours assessed by them as being reasonably required by an experienced advocate having regard to all the circumstances of the particular case.

In the event that the advocate cannot produce a record of hours PPS will:

- 1. remunerate the advocate on the basis of alternative fixed fee arrangements where applicable; or
- 2. will be unable to make any payment where no alternative basis is

applicable.

Appearances will be paid on the basis of fixed rates, see table below;

#### 6. Tables of Fees

The rates to be applied to HR work are laid out in the tables below. The rates only apply to the work detailed in the letter of instruction. Other ancillary work will be paid in line with the table of fixed fees below.

	Preparation £ Per Hour (Standard Rate)	Preparation £ Per Hour (Exceptional Rate)
Senior	£110	£140
Advocate		
Leading Junior	£90	£110
Led Junior	£70	£80
Sole Junior	£80	£90

Type of Work	Senior Advocate	Leading Junior Advocate	Led Junior Advocate	Sole Junior Advocate
Advocacy Fee (hearing not exceeding 1.5 hours)	£95	£89	£60	£78
Advocacy Fee 2 (hearing exceeding 1.5 hours but not exceeding 3 hours)	£190	£143	£95	£124
Advocacy Fee 3 (hearing exceeding 3 hours)	£380	£285	£190	£247
Consultations/views/ Listening to or viewing tapes etc.	Per Hour £60.00	Per Hour £45.00	Per Hour £30.00	Per Hour £39.00

#### 7. Court of Appeal and Higher Court Hourly Rate Work

Where work is undertaken by the Crown Solicitors Office on behalf of the Public Prosecution Service counsel will be engaged by the CSO and remunerated under their fee arrangements.

On occasion work of this nature may be briefed and over seen by PPS directly. In these circumstances PPS may brief counsel from the CSO panel and may brief counsel at up to the CSO rates. This work will include:

- Appeal by way of Cases Stated, to the Court of Appeal or House of Lords;
- Unduly Lenient Sentence Actions;
- Judicial Reviews;
- Cases referred from the Criminal Cases Review Commission;
- Other matters determined by PPS.

# Section 7: Administrative Arrangements for Payment of Fees

#### 1. Central Fees Team

The process of assessing and paying independent advocates' fees is centralised within the Public Prosecution Service.

A Central Fees Team (CFT), led by the Fees Auditor, will be responsible for:

- calculating/estimating the fees payable against each case at its conclusion;
- receiving all fee notes and correspondence from advocates;
- overseeing payment of fees in line with the PFS;
- managing all advocates' gueries; and
- resolving or supporting the resolution of fee disputes.

#### 2. Crown and Court of Appeal Cases: PPS Finance Folders

A Finance Folder (FF) will be opened for each Crown Court and Court of Appeal case by PPS Case Preparation Section. This will be held with court papers and a record of proceedings will be maintained by PPS court staff. At the conclusion of the case this detailed account of the progression of the case will be forwarded to the Central Fees Team. It will act as a basis of the valuation of fees due in respect of the work conducted by the advocate.

Counsel should ensure that PPS business managers are made aware of the occurrence and duration of all consultations in any case in order to maintain a full record of all counsel activity on the finance folder.

#### 3. Self Billing

Where the advocate has a current Self Billing Arrangement with the PPS it is possible for the advocate to sign the finance folder as an accepted record of the work conducted. PPS can then calculate and pay the fee.

If the advocate does not accept the record they should not sign their acceptance and should instead raise a fee note to PPS detailing the nature and quantity of the work and their calculation of the fees due.

Where an advocate does not have a current Self Billing arrangement with the PPS the advocate will be required to raise fee notes in respect of all cases.

#### 4. Claims for Fees by Prosecuting Advocates

Where prosecuting advocates are required to submit a fee note they shall claim fees for work undertaken within three months of the conclusion of the proceedings.

#### A claim shall:

- (i) state the name and PPS case reference of the case;
- (ii) state the case category and the capacity in which the advocate acted;
- (iii) summarise the items of work in respect of which fees are claimed;
- (iv) list the dates on which the items of work were done, the time taken (where required) and the sums claimed in respect of each element;
- (v) give particulars of any work in relation to more than one indictment or a retrial.

A template for the calculation of fees by counsel is available from the PPS website at <a href="https://www.ppsni.gov.uk">www.ppsni.gov.uk</a>.

Prosecuting advocates shall supply such further particulars, information and documents as PPS may request. Where there are any special circumstances which should be drawn to the attention of PPS, the advocate should specify them. All other narrative in respect of the conduct of the case will not be taken into consideration in the calculation of the remuneration due.

PPS shall consider the claim, any further particulars, information or documents submitted by the advocate and any other relevant information and shall allow fees for such work as appears to it to have been reasonably undertaken and properly completed.

In a case where there is any dispute about whether or when any item of work was performed or the duration of such item of work, fees will be calculated on the basis of PPS records unless the advocate produces a contemporaneous record of the work done which is accepted. It is therefore the responsibility of advocates to keep an accurate record of all items of work for which he/she intends to claim fees under this scheme.

#### 5. VAT

All rates quoted in this document are exclusive of VAT.

It is the responsibility of the advocate to notify PPS of their VAT status and to update PPS records for any change in this status.

It is the responsibility of the advocate to account for VAT in accordance with current applicable rates.

#### 6. Interim Fees

In cases where an advocate has been briefed on an Hourly Rate basis and the case will be on-going for a period of over one year the PPS will require interim fees to be raised every six months.

This fee should be paid on the basis of an Interim Fee Note outlining the work completed and supported by a detailed record of the dates and times of hours worked.

The Fees Auditor will consult with the relevant AD in assessing the work record and the projected total fees to be paid. The Central Fees Team will keep a record of the interim fee within the case records for consultation when the final fee is paid. The final fee will detail the previous interim fee paid and ensure that only the balance of fees are paid.

#### 7. Hardship Payments

A Hardship Payment may be made to an advocate on proof of likelihood of financial hardship.

The sum payable against any case cannot exceed the total amount payable for work completed to the point of assessment, but payment will not be made for sums less than £5,000.00.

#### 8. Disputed Fees

Where an advocate does not consider the fee appropriate and a resolution cannot be found by the Fees Auditor, the advocate can appeal in writing to the Deputy Director of Public Prosecutions for a reassessment of the claim, setting out the grounds of objection and the matters to be considered in granting an additional fee.

The Fees Auditor will prepare a written response detailing the PPS interpretation of the scheme, what additional fees, if any, could be payable and on what grounds. This will be referred to the Deputy Director for final consideration and approval. The decision of the Deputy Director will be considered final.

#### 9. Travel Expenses

Advocates shall be eligible to claim travel expenses in accordance with NICS travel policy and rates if required to attend court, a consultation or view of locus on behalf of the PPS.

Travel expenses will only be paid if the journey was necessary. Where a prosecuting advocate is briefed at the same venue in more than one case only one travelling allowance shall be payable on any one day.

The journey is deemed to start from the Bar Library or the actual starting point if nearer. Mileage will be payable at £0.45 per mile or in accordance with current guidance. The advocate should provide details of the start and

finishing point of the journey (preferably postcodes) in order to allow the distance claimed to be verified.

For ease of calculation mileage is predetermined in respect of travel from the Bar Library to Courts and is payable in accordance with the following table.

Venue	Distance	Venue	Distance
Armagh	40	Larne	24
Ballymena	28	Limavady	63
Ballymoney	47	Londonderry	72
Banbridge	24	Lurgan	25
Coleraine	56	Magherafelt	35
Cookstown	52	Newcastle	31
Craigavon	30	Newry	37
Downpatrick	22	Omagh	68
Dungannon	42	Portadown	30
Enniskillen	83	Strabane	79
Kilkeel	44		

#### 10. Travel Time

Advocates may also claim an additional hourly rate of £25 (regardless of category) for reasonable travel and waiting time to and from a consultation or view of the locus.

If advocates are required by the PPS to undertake preparation work on the case whilst travelling they will be remunerated at the hourly preparation rate. The actual work done whilst travelling must be recorded in the work record. Advocate will not be entitled to claim travel and waiting time where preparation time has been claimed for the same period.

#### 10. Additional Expenses

Authority to incur any additional expenses should be secured from the instructing AD before incurring the expenditure. Reasonable approved expenses will be paid on production of receipts

# Section 8: Annexes

### Annex A – Sample Letter for Instruction on the Basis of Hourly Rates

The PPS wish to instruct you to represent the Crown in the following case and the basis of

Date

the rees to be paid in respect or in	istruction is laid out below:
Case Name: Case Reference: Hourly Rate Applicable: Projected Hearing Date:	R v [DEFENDANT'S NAME] [PPS REFERENCE NUMBER] £
Hours Estimates (where applica Nature of Work (tick as appropi	,
Viewing Sensitive Material for	
Magistrates/Youth/ County Co	urt Case
Pre-Committal Preparation	Bail Application
Opinions	Extradition Cases
Restraint	Confiscation
Other (please specify):	
Opinion required on the follow 1.	ving matters:
2.	
3.	

The PPS Prosecution Fee Scheme sets out in detail the arrangements under which the advocate will be paid and what is expected from the advocate in the maintenance of work records and in the invoicing of fees. A copy of the PPS Prosecution Fee Scheme is available at <a href="https://www.ppsni.gov.uk">www.ppsni.gov.uk</a>.

The maximum hours reasonably estimated as required to complete this work have been set out above, where applicable. If you believe this estimate to be unreasonable, you should contact the PPS prior to accepting instruction and within five working days of receipt of this letter. There is an ongoing obligation on the Advocate to notify PPS if the level of work required exceeds the original estimate.

Advocates must keep full and detailed work records of all work undertaken. An excel spreadsheet template available from the PPS can be used for this purpose. The fees payable will be audited and paid at the end of the case or at intervals of six months for prolonged cases. Advocate will be paid the hourly rate for work properly and reasonably undertaken as recorded in the work record. If you are unwilling to accept instruction in the terms outlined above please return the papers within five working days of the date of this letter.

Yours faithfully

Dear [Name of Advocate]

#### **Annex B - Record of Preparatory Hours**

			Preparatio	n		Conferences		Hearings	
Date	Day	Description of work done	Start	Finish	Time elapsed	Start	Finish	Time elapsed	Court hearing
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	
					0:00			0:00	

Counsel's estimate of fees			
Preparation at rate of	Х	0:00	-
Conference at rate of	Х	0:00	-
Days in court	Х	0.00	-
Total fees excluding VAT			-
VAT on fees			-
Fees including VAT			-
Total payable			-